

ANF 4F

Redemption / No Bond Certificate against Advance Authorisation
[Please see guidelines (given at the end) before filling the application].

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| 1. IEC Number |
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| 2. Applicant Details |
| i. Name |
| ii. Address |

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|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 3. Application Fee Details for additional fee, if any, in case of pro-rata enhancement at the time of redemption/ No Bond endorsement. |
| Amount (Rs) |
| Demand Draft/Bank Receipt/Electronic Fund Transfer No |
| Date of Issue |
| Name of the Bank on which drawn |
| Bank Branch on which drawn |

4. Request is for: (Strike out whichever is not applicable)

Redemption (EODC) / No Bond Certificate (Bond Waiver)

| | |
|-------------------------------------------------------|--|
| 5. Advance Authorisation Details | |
| i. Advance Authorisation | |
| a. Number | |
| b. Date of Issue | |
| ii. CIF Value | |
| a. In Indian Rupees | |
| b. In free foreign exchange | |
| iii. Export Obligation imposed | |
| a. In Indian Rupees | |
| b. In free foreign exchange | |
| iv. Export Obligation Period: | |
| a. Date of expiry of initial export obligation period | |

| | |
|----------------------------------------------------------------------------------------------|--|
| b. Date of expiry of extended export obligation period | |
| v. Product exported | |
| vi. Norms details: | |
| a. Serial Number of SION (in case of fixed norms) | |
| b. Reference number and date vide which norms have been ratified (in case of para 4.7 cases) | |

6. Details of physical exports / deemed exports made

| Sl. No | Products Exported / Supplied | Shipping Bill/ Invoice/ ARE3 / CT 3 Details | | Quantity Exported / Supplied | | | FOB Value | | | |
|--------|------------------------------|---------------------------------------------|------|------------------------------|---------------------|----------------|-----------|------------------------------------------|---------------------------------------------|--------------------------------|
| | | No | Date | Physical exports | | Deemed Exports | Total | In case of physical exports (as per S/B) | In case of deemed exports (as per invoices) | Actually realized (as per BRC) |
| | | | | Direct exports | Third Party exports | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

7. Details of imports made against the Authorisation:

| Sl. No | Item of Import | Imports allowed in the Authorisation | | Bill of Entry Details | | Imports effected | |
|--------|----------------|--------------------------------------|--------------------|-----------------------|------|-------------------|-----------------------------|
| | | Qty allowed | CIF value (in FFE) | No | Date | Quantity imported | CIF Value utilised (in FFE) |
| | | | | | | | |
| | | | | | | | |

8. Details of excess imports made proportionate to the export obligation fulfilled:

| Sl No | Item of Import | Bill of Entry Details | | Quantity | Duty Details | | |
|-------|----------------|-----------------------|------|----------|--------------|----------|-------|
| | | No | Date | | Customs Duty | Interest | Total |
| | | | | | | | |
| | | | | | | | |

DECLARATION / UNDERTAKING

1. I/We hereby declare that the particulars and the statements made in this application are true and correct to the best of my / our knowledge and belief and nothing has been concealed or held there from. If found

incorrect or false, it will render me / us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.

2. I/We undertake to abide by the provisions of FT(D&R) Act, the Rules and Orders framed there under, the FTP, HBP v1, HBP v2 and the ITC(HS) Classification of Export & Import Items.
3. I / We hereby certify that none of the Proprietor/ Partner(s) / Director(s) / Karta / Trustee of the firm / company, as the case may be, is / are a Proprietor / Partner(s) / Director(s) / Karta / Trustee in any other firm / Company which has come to the adverse notice of DGFT.
4. I/We hereby certify that the Proprietor/Partner(s)/Director(s)/Karta/Trustee, as the case may be, of the firm/company is/are not associated as Proprietor/Partner(s)/Director(s)/Karta/Trustee in any other firm/company which is in the caution list of RBI.
5. I / We hereby declare that I/we have perused the list of SCOMET items as contained in the Appendix 3 to the Schedule 2 of the ITC (HS) Classifications of Export-Import Items and that the item(s) exported / proposed to be exported does not fall within this list and that I/ We agree to abide by the provisions of the Policy for export of SCOMET items contained in the Foreign Trade Policy, Schedule 2 of ITC (HS) and the HBP v1, irrespective of the scheme under which the item is exported / proposed to be exported (the underlined portion will be deleted in case an application for export license for SCOMET item is being filed).
6. I / We hereby declare that no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.
7. I hereby certify that I am authorised to verify and sign this declaration as per Paragraph 9.9 of the FTP.

Place
Date

Signature of the Applicant
Name
Designation
Official Address
Telephone
Residential Address
Email Address

GUIDELINES FOR APPLICANTS

[Please see paragraph 4.25 of HBP v1]

1. Two copies of the application must be submitted unless otherwise mentioned.
2. Each individual page of the application has to be signed by the applicant.
3. Application must be accompanied by documents as per details given below:

a. For physical exports:

- i. Bank Certificate of Exports and Realisation in the form given at Appendix 22A or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 22D in case of offsetting of export proceeds. However, realisation of export proceeds

shall not be insisted if the shipments are made against confirmed irrevocable letter of credit or bill of exchange is unconditionally Avalised / Co- Accepted / Guaranteed by a bank and the same is confirmed by the exporters bank and certified by the bank in column 14/15 of Appendix 22A. For status holders, irrevocable letter of credit would suffice. Further, realisation of export proceeds shall not be insisted, if the Reserve Bank of India (RBI) writes off the requirement of realisation of export proceeds on merits and the exporter also produces a certificate from the Foreign Mission of India about the fact of non recovery of export proceeds from the buyer. However this would not include self write off cases.

- ii. EP copy of the shipping bill(s) containing details of shipment effected or bill of export in case of export to SEZ. However no hard copy of Shipping Bill(s) shall be required to be filed for EO discharge for shipments from EDI Ports for the authorizations issued w.e.f 1.4.2009.
- iii. A statement of exports giving details of shipping bill wise exports indicating the shipping bill number, date, FOB value as per shipping bill and description of export product
- iv. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.
- v. FOB value of export for the purpose of V.A shall be arrived at after excluding the Foreign Agency Commission, if any. This provision shall be applicable for authorizations issued on or after 1.4.2008.
- vi. In case where CENVAT credit facility on inputs have been availed for the exported goods, the goods imported against Advance Authorisation shall be utilized only in the manufacture of dutiable goods whether within the same factory or outside (by a supporting manufacturer) even after completion of export obligation, for which the authorisation holder shall produce a certificate from either the jurisdictional Central Excise Supdt. or Independent Chartered Accountant or Cost and Works Accountant, at the option of the exporter.

b. For deemed exports:

- i. A copy of the invoice or a statement of invoices duly signed by the unit receiving the material and their jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply. However in case of supply of items which are non excisable or supply of excisable items to a unit producing non excisable product(s), a project authority certificate (PAC) certifying quantity, value and date of supply would be acceptable in lieu of excise certification. However, in respect of supplies to EOU/EHTP/ STP/ BTP, a copy of CT-3/ARE-3 duly signed by the jurisdictional excise authorities certifying the item of supply, its quantity, value and date of such supply can be furnished in lieu of the excise attested invoice (s) or statement of invoices as given above. However in case of supply of the product by the Intermediate supplier to the port directly for export by the ultimate exporter (holder of Advance

Authorisation or DFIA) in terms of paragraph 4.13 of HBP v1, copy of the shipping bill with the name of domestic supplier as Intermediate supplier endorsed on it along with the file No. / Authorisation No. of the ultimate exporter and the intermediate supplier shall be required to be furnished.

- ii. Payment certificate from the project authority in the form given in Appendix-22C. In the case of Advance Authorisation for Intermediate Supplies/ deemed exports, supplies to the EOUs/ EHTPs/STPs/ BTPs, documentary evidence from the bank substantiating the realisation of proceeds from the Authorisation holder or EOUs/EHTPs/ STPs/ BTPs, as the case may be, through the normal banking channel, shall be furnished in the form given at Appendix 22B. However realisation of proceeds shall not be insisted upon if the shipments are made against confirmed irrevocable inland letter of credit or inland bill of exchange is unconditionally Avalised/ Co- Accepted/ Guaranteed by a bank and the same is confirmed by the exporters bank and certified by the bank in column 5/6/7 of Appendix 22B. For status holders, irrevocable inland letter of credit would suffice.
- iii. A statement of supplies giving details of supply invoices and indicating the invoice number, date, FOR value as per invoices and description of product.
- iv. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.
- v. In case where CENVAT credit facility on inputs have been availed for the exported goods, the goods imported against Advance Authorisation shall be utilized only in the manufacture of dutiable goods whether within the same factory or outside (by a supporting manufacturer) even after completion of export obligation, for which the authorisation holder shall produce a certificate from either the jurisdictional Central Excise Supdt. or Independent Chartered Accountant or Cost and Works Accountant, at the option of the exporter.